

Available online at www.sciencedirect.com



Tetrahedron 62 (2006) 5049-5053

Tetrahedron

# Direct aldol reaction of trifluoroacetaldehyde ethyl hemiacetal with ketones by use of the combination of amines and acids

Kazumasa Funabiki,\* Hideyuki Nagaya, Mika Ishihara and Masaki Matsui

Department of Materials Science and Technology, Faculty of Engineering, Gifu University, 1-1 Yanagido, Gifu 501-1193, Japan

Received 20 February 2006; revised 16 March 2006; accepted 16 March 2006 Available online 17 April 2006

**Abstract**—Trifluoroacetaldehyde ethyl hemiacetal reacts with unmodified ketones in the presence of 30-50 each mol % of amines and acids at ambient temperature, affording the corresponding  $\beta$ -hydroxy- $\beta$ -trifluoromethylated ketones in good yields with good to excellent diastereoselectivities.

© 2006 Elsevier Ltd. All rights reserved.

# 1. Introduction

Despite significant progress in the area of efficient synthesis of trifluoromethylated molecules using trifluoroacetaldehyde (CF<sub>3</sub>CHO), the method for the generation of CF<sub>3</sub>CHO from its hemiacetal or hydrate is still dependent on the early protocol, which includes a serious indispensable condition such as use of an excess amount of concentrated sulfuric acid under high reaction temperature.<sup>1</sup> Therefore, an environmentally-friendly, practical, and efficient method for the in situ generation of CF<sub>3</sub>CHO attended by its simultaneous stereoselective carbon-carbon bond formation reaction is really required. Recently, we have found that a stoichiometric amount of enamines or imines react well with CF<sub>3</sub>CHO ethyl hemiacetal via the regio- and/or stereoselective carbon-carbon bond formation reaction under mild conditions without any additives, producing β-hydroxy-βtrifluoromethylated ketones in good to excellent yields.<sup>3</sup> This reaction can serve as a new expedient method for the general, practical, and regio- and/or stereoselective synthesis of β-hydroxy-β-trifluoromethylated ketones. However, in this method a couple of steps for the preparation of enamines and imines as well as for the hydrolysis of the intermediates producing β-hydroxy-β-trifluoromethylated ketones are absolutely necessary. For the further development of a new atom-economical method for the synthesis of β-trifluoromethylated aldol adducts, we describe herein the direct aldol reaction of CF<sub>3</sub>CHO ethyl hemiacetal with unmodified ketones by the use of the combination of a small amount (30-50 mol %) of amine and acid,<sup>3-6</sup> affording the good vields of β-hydroxy-β-trifluoromethyl ketones with good to excellent *syn*-diastereoselectivities. Importantly, this method could achieve a reduction of the steps for in situ generation of CF<sub>3</sub>CHO and successive carbon–carbon bond formation reactions. That is, a single manipulation may include multi steps in the reaction, such as (1) the formation of enamine or imine, (2) the enamine- or imine-assisted in situ generation of CF<sub>3</sub>CHO, (3) the successive carbon–carbon bond formation reaction of CF<sub>3</sub>CHO, and (4) the hydrolysis of the intermediates, producing  $\beta$ -hydroxy- $\beta$ -trifluoromethylated ketones as well as reproduction of amine and acid.

## 2. Results and discussion

CF<sub>3</sub>CHO ethyl hemiacetal **1a** reacted smoothly with acetone **2a** in the presence of 50 each mol % of piperidine and acetic acid at room temperature for 24 h to produce the  $\beta$ -hydroxy- $\beta$ -trifluoromethylated ketone **3a** in 60% yield, together with a trace amount of 1,1,1,7,7,7-hexafluoro-2,6-dihydroxy-4heptanone **4** (Table 1, entry 3). The direct aldol reactions of CF<sub>3</sub>CHO ethyl hemiacetal **1a** with acetone **2a** under the various reaction conditions are summarized in Table 1.

The use of only piperidine gave no product **3a** with a trace amount of bis-adduct **4** at various temperatures (entries 1 and 2). Other organic acids, such as trifluoroacetic acid and *p*-toluenesulfonic acid were not effective to give a trace amount of or no product (entries 4 and 5). Among the solid acids examined, such as silica gel (Wakogel C200),<sup>5</sup> Montmorillonite K10, H<sub>4</sub>SiW<sub>12</sub>O<sub>40</sub>, and Nafion R-50 (entries 6– 9), Wakogel C200 was most effective for the direct aldol reaction with acetone to provide the aldol product **3a** in 58% yield, along with a 10% yield of **4** (entry 6). Out of a variety of amines screened in both cases of acetic acid and Wakogel C200, cyclic secondary amines, such as morpholine and

Keywords: Trifluoromethyl group; Aldol reaction.

<sup>\*</sup> Corresponding author. Fax: +81 58 2301893; e-mail: kfunabik@apchem. gifu-u.ac.jp

Table 1. In situ generation of trifluoroacetaldehyde from its hemiacetal 1a and successive direct aldol reaction with acetone using the combination of amines and acids under the various conditions<sup>a</sup>

	OH F <sub>3</sub> C OEt +	OH O rt, 24 h F <sub>3</sub> C + F <sub>3</sub> C	OH O OH CF <sub>3</sub> +	OH F <sub>3</sub> C OH
	1a 2a	a 3a	4	1b
Entry	Amine	Acid	Solvent	Yield (%) <sup>b</sup>
1 2 <sup>c</sup>	Piperidine (50 mol %) Piperidine (50 mol %)	None None	Acetone Acetone	<b>3a</b> (0), <b>4</b> (10), <b>1b</b> (22), <b>1a</b> (16) <b>3a</b> (0), <b>4</b> (6), <b>1b</b> (17), <b>1a</b> (13)
3 4	Piperidine (50 mol %) Piperidine (50 mol %)	CH <sub>3</sub> CO <sub>2</sub> H (50 mol %) CF <sub>3</sub> CO <sub>2</sub> H (50 mol %)	Acetone Acetone	<b>3a</b> (60), <b>4</b> (5), <b>1b</b> (0), <b>1a</b> (0) <b>3a</b> (1), <b>4</b> (0), <b>1b</b> (32), <b>1a</b> (14)
5 6	Piperidine (50 mol %) Piperidine (50 mol %)	$p$ -TsOH $\cdot$ H <sub>2</sub> O (50 mol %) Silica gel (120 mg)	Acetone	<b>3a</b> (0), <b>4</b> (0), <b>1b</b> (45), <b>1a</b> (11) <b>3a</b> (58), <b>4</b> (10), <b>1b</b> (0), <b>1a</b> (0)
7 8 9	Piperidine (50 mol %) Piperidine (50 mol %) Piperidine (50 mol %)	Montmorillonite K 10 (120 mg) H <sub>4</sub> SiW <sub>12</sub> O <sub>40</sub> (120 mg) Nafion P 50 (120 mg)	Acetone Acetone	<b>3a</b> (32), <b>4</b> (22), <b>1b</b> (0), <b>1a</b> (0) <b>3a</b> (9), <b>4</b> (18), <b>1b</b> (18), <b>1a</b> (9) <b>3a</b> (0), <b>4</b> (8), <b>1b</b> (16), <b>1a</b> (19)
10	n-PrNH <sub>2</sub> (50 mol %)	$CH_3CO_2H$ (50 mol %)	Acetone	<b>3a</b> (65), <b>4</b> (3), <b>1b</b> (10), <b>1a</b> (19) <b>3a</b> (65), <b>4</b> (3), <b>1b</b> (0), <b>1a</b> (0)
11 12 13	c-HexNH <sub>2</sub> (50 mol %) t-BuNH <sub>2</sub> (50 mol %) Morpholine (50 mol %)	$CH_3CO_2H (50 \text{ mol }\%)$ $CH_3CO_2H (50 \text{ mol }\%)$ $CH CO_2H (50 \text{ mol }\%)$	Acetone Acetone	<b>3a</b> (65), <b>4</b> (1), <b>1b</b> (0), <b>1a</b> (0) <b>3a</b> (17), <b>4</b> (11), <b>1b</b> (19), <b>1a</b> (22) <b>3a</b> (61), <b>4</b> (1), <b>1b</b> (0), <b>1a</b> (2)
13 14 15 <sup>d</sup>	1-Methylpiperazine (50 mol %) Et <sub>2</sub> NH (50 mol %)	$CH_3CO_2H (50 \text{ mol }\%)$ $CH_3CO_2H (50 \text{ mol }\%)$ $CH_2CO_2H (50 \text{ mol }\%)$	Acetone Acetone	<b>3a</b> (51), <b>4</b> (1), <b>1b</b> (0), <b>1a</b> (2) <b>3a</b> (53), <b>4</b> (7), <b>1b</b> (0), <b>1a</b> (0) <b>3a</b> (30), <b>4</b> (18), <b>1b</b> (0), <b>1a</b> (0)
16 17	<i>i</i> -Pr <sub>2</sub> NH (50 mol %) Ph <sub>2</sub> NH (50 mol %) Et N (50 mol %)	CH <sub>3</sub> CO <sub>2</sub> H (50 mol %) CH <sub>3</sub> CO <sub>2</sub> H (50 mol %) CH <sub>2</sub> CO <sub>2</sub> H (50 mol %)	Acetone Acetone	<b>3a</b> (1), <b>4</b> (1), <b>1b</b> (49), <b>1a</b> (22) <b>3a</b> (0), <b>4</b> (0), <b>1b</b> (21), <b>1a</b> (57) <b>3a</b> (0), <b>4</b> (0), <b>1b</b> (56), <b>1a</b> (24)
19 20	n-PrNH <sub>2</sub> (50 mol %)	Silica gel (120 mg)	Acetone	<b>3a</b> (53), <b>4</b> (3), <b>1b</b> (36), <b>1a</b> (24) <b>3a</b> (53), <b>4</b> (3), <b>1b</b> (0), <b>1a</b> (0)
20 21 22	c-HexNH <sub>2</sub> (50 mol %) t-BuNH <sub>2</sub> (50 mol %) Morpholine (50 mol %)	Silica gel (120 mg) Silica gel (120 mg) Silica gel (120 mg)	Acetone Acetone	<b>3a</b> (50), <b>4</b> (14), <b>1b</b> (0), <b>1a</b> (0) <b>3a</b> (3), <b>4</b> (13), <b>1b</b> (23), <b>1a</b> (5) <b>3a</b> (52), <b>4</b> (0), <b>1b</b> (0), <b>1a</b> (0)
23 24 25	1-Methylpiperazine (50 mol %) Et <sub>2</sub> NH (50 mol %) <i>i</i> -Pr.NH (50 mol %)	Silica gel (120 mg) Silica gel (120 mg) Silica gel (120 mg)	Acetone Acetone	<b>3a</b> (67), <b>4</b> (0), <b>1b</b> (0), <b>1a</b> (0) <b>3a</b> (28), <b>4</b> (27), <b>1b</b> (0), <b>1a</b> (0) <b>3a</b> (0) <b>4</b> (0) <b>1b</b> (28) <b>1a</b> (24)
26 27	$ \begin{array}{l} Ph_{2} NH (50 \text{ mol } \%) \\ Ph_{2} NH (50 \text{ mol } \%) \\ Et_{3} N (50 \text{ mol } \%) \end{array} $	Silica gel (120 mg) Silica gel (120 mg)	Acetone Acetone	<b>3a</b> (0), <b>4</b> (0), <b>1b</b> (20), <b>1a</b> (24) <b>3a</b> (0), <b>4</b> (0), <b>1b</b> (39), <b>1a</b> (8) <b>3a</b> (0), <b>4</b> (0), <b>1b</b> (26), <b>1a</b> (9)
28 29 30 31	Piperidine (30 mol %) <i>n</i> -PrNH <sub>2</sub> (30 mol %) Piperidine (30 mol %) <i>n</i> -PrNH <sub>2</sub> (30 mol %)	CH <sub>3</sub> CO <sub>2</sub> H (30 mol %) CH <sub>3</sub> CO <sub>2</sub> H (30 mol %) Silica gel (72 mg) Silica gel (72 mg)	Acetone Acetone Acetone Acetone	<b>3a</b> (53), <b>4</b> (21), <b>1b</b> (0), <b>1a</b> (0) <b>3a</b> (62), <b>4</b> (3), <b>1b</b> (0), <b>1a</b> (0) <b>3a</b> (38), <b>4</b> (21), <b>1b</b> (0), <b>1a</b> (0) <b>3a</b> (16), <b>4</b> (14), <b>1b</b> (6), <b>1a</b> (17)
32 33 34 35	Piperidine (50 mol %) <i>n</i> -PrNH <sub>2</sub> (50 mol %) Piperidine (50 mol %) <i>n</i> -PrNH <sub>2</sub> (50 mol %)	CH <sub>3</sub> CO <sub>2</sub> H (50 mol %) CH <sub>3</sub> CO <sub>2</sub> H (50 mol %) Silica gel (120 mg) Silica gel (120 mg)	Acetone–DMSO Acetone–DMSO Acetone–DMSO Acetone–DMSO	<b>3a</b> (23), <b>4</b> (34), <b>1b</b> (0), <b>1a</b> (0) <b>3a</b> (18), <b>4</b> (23), <b>1b</b> (0), <b>1a</b> (0) <b>3a</b> (0), <b>4</b> (2), <b>1b</b> (19), <b>1a</b> (8) <b>3a</b> (14), <b>4</b> (23), <b>1b</b> (4), <b>1a</b> (2)

<sup>a</sup> All the reaction was carried out with trifluoroacetaldehyde ethyl hemiacetal **1a** (1 mmol) with amine **2** and organic acid or solid acid in dry acetone (10 ml) or in the mixed solvent of DMSO (8 ml) and dry acetone (2 ml).

<sup>b</sup> Yields were measured by <sup>19</sup>F NMR.

<sup>c</sup> Carried out at reflux.

<sup>d</sup> Many unidentified by-products were formed.

1-methylpiperazine (entries 13, 14, 22, and 23) as well as primary amines bearing *n*-propyl or *c*-hexyl group were suitable for the reaction to give acceptable yields of the aldol product **3a** (entries 10, 11, 19, and 20). The use of diethylamine as an acyclic secondary amine resulted in the increase of the formation of bis-adduct **4**, together with **3a** in 28–30% yields (entries 15 and 24).

The reaction with *tert*-butylamine (entries 12 and 21) or diisopropylamine (entries 16 and 25) having the bulky group produced only trace amount of the aldol product. Diphenylamine also was not effective for the reaction to afford no product, probably due to its low nucleophilicity (entries 17 and 26). The use of triethylamine also gave no product (entries 18 and 27). These results may suggest that (1) the reaction proceeds via enamine or imine, which is produced from the corresponding ketone in the presence of the acid and the corresponding secondary or primary amine, and (2) the resulting enamine or imine reacts with CF<sub>3</sub>CHO ethyl hemi-

acetal 1a via not only in situ generation of CF<sub>3</sub>CHO but also carbon-carbon bond formation reaction to give the corresponding  $\beta$ -hydroxy- $\beta$ -trifluoromethyl ketones.<sup>2</sup> The reaction of hemiacetal 1a with acetone 2a in the presence of 30 each mol % of piperidine or *n*-propylamine and acetic acid afforded the corresponding aldol product 3a in acceptable yields (53-62%) (entries 28 and 29). Reducing the amount of amines to 30 mol % and the amount of silica gel to 60% of the former amount gave rise to lowering the yield of 2a, together with the formation of bis-adduct 4 (entries 30 and 31). Irrespective of the amines as well as the acids, the use of DMSO as a polar co-solvent resulted in decreasing the yield of 3a, along with the increase of bisadduct 4. In all cases, mass balances in the yield are not good, probably due to these high volatility and self-polymerization of CF<sub>3</sub>CHO.

The results of cyclic ketones as well as other polyfluoroalkylaldehyde hemiacetal or hydrate are summarized in Table 2.

$\begin{array}{c} OH \\ Rf \\ OX \\ 1 \\ 2 \\ \end{array} \xrightarrow{R^1} R^2 \\ R^1 \\ R^1 \\ Rf \\ R$											
Entry	1	R <sub>f</sub>	Х	2	$R^1, R^2$	Method <sup>b</sup>	3,5,6	Yield (%) <sup>c</sup>	syn:anti <sup>d</sup>		
1	1a	CF <sub>3</sub>	Et	2a	H, Me	А	3a	60	_		
2	1a	CF <sub>3</sub>	Et	<b>2b</b>	-(CH <sub>2</sub> ) <sub>3</sub> -	А	3b	82 (41)	93:7		
3	1a	CF <sub>3</sub>	Et	2c	-(CH <sub>2</sub> ) <sub>4</sub> -	А	3c	45	40:60		
4	1b	CF <sub>3</sub>	Н	2b	-(CH <sub>2</sub> ) <sub>3</sub> -	А	3b	88	92:8		
5	1c	$CHF_2$	Et	2b	$-(CH_2)_3-$	А	5	47 (46)	78:22		
6	1d	$CF_3CF_2$	Н	2b	$-(CH_2)_3-$	А	6	77 (50)	94:6		
7	1a	CF <sub>3</sub>	Et	2a	H, Me	В	3a	58	_		
8	1a	CF <sub>3</sub>	Et	2b	$-(CH_2)_3-$	В	3b	86 (42)	90:10		
9	1a	CF <sub>3</sub>	Et	2c	$-(CH_2)_4-$	В	3c	33	54:46		
10	1b	CF <sub>3</sub>	Н	2b	$-(CH_2)_3-$	В	3b	77 (40)	89:11		
11	1c	CHF <sub>2</sub>	Et	2b	-(CH <sub>2</sub> ) <sub>3</sub> -	В	5	74 (71)	74:26		
12	1d	$CF_3CF_2$	Н	2b	-(CH <sub>2</sub> ) <sub>3</sub> -	В	6	70 (61)	93:7		
13	1a	CF <sub>3</sub>	Et	2b	$-(CH_2)_{3}-$	С	3b	85	79:26		

Table 2. In situ generation of polyfluoroalkylaldehyde from its hemiacetal or hydrate and successive direct aldol reaction with ketones using the combination of amines and acids<sup>a</sup>

<sup>a</sup> All the reaction was carried out with polyfluoroalkylaldehyde ethyl hemiacetal (1 mmol) or hydrate (1 mmol) in the corresponding ketone (10 ml). <sup>b</sup> Method A; piperidine (50 mol %) and acetic acid (50 mol %). Method B; piperidine (50 mol %) and Wakogel C200. Method C; *n*-PrNH<sub>2</sub> (50 mol %) and acetic acid (50 mol %).

acetic acid (50 mol %). <sup>°</sup> Measured by <sup>19</sup>F NMR using benzotrifluoride. Values in parentheses stand for the yields of isolated products.

<sup>d</sup> Determined by <sup>19</sup>F NMR.

Cyclopentanone 2b could also participate well in the direct aldol reaction of CF<sub>3</sub>CHO ethyl hemiacetal 1a to produce the corresponding  $\beta$ -hydroxy- $\beta$ -trifluoromethyl ketone 5 in good yields with high syn-diastereoselectivities by the use of acetic acid (Method A) or Wakogel C200 (Method B) (entries 2 and 8). Major diastereomer of 3b could be assigned syn-isomer by comparison with the chemical shift of  $\beta$ -methine proton of aldol product attached to the hydroxyl group  $(CF_3CH(OH))$  in <sup>1</sup>H NMR according to the reported values.<sup>4</sup> This result could also be supported by the literature by Denmark.<sup>7</sup> The literature describes that in the aldol products, derived from cyclopentanone or cyclohexanone, the methine proton at  $\beta$ -carbon of *syn*-products appears in the lower field than those of *anti*-products in <sup>1</sup>H NMR. The reaction of CF<sub>3</sub>CHO ethyl hemiacetal 1a with cyclohexanone 2c gave a 45% yield of the aldol product 3c with low diastereoselectivity, because many unidentified by-products were produced (entries 3 and 9).

syn-Selective direct aldol reaction of CF<sub>3</sub>CHO ethyl hemiacetal **1a** with cyclopentanone **2b** by using *n*-propylamine in place of piperidine also occurred to produce the aldol product 3b in 85% yield with slight reduction of diastereoselectivity (entry 13). CF<sub>3</sub>CHO hydrate 1b also reacted well with cyclopentanone 2b to produce the aldol product 3b in the similar yields with similar diastereoselectivities (entries 4 and 10). The direct aldol reaction of difluoroacetaldehyde ethyl hemiacetal 1c as well as pentafluoropropionaldehyde hydrate 1d with cyclopentanone 2b, also successfully occurred to produce the corresponding  $\beta$ -difluoromethyl or pentafluoroethyl aldol adduct 5 or 6 in good yields with good to excellent syn-diastereoselectivities in both cases of acetic acid and silica gel with piperidine (entries 5, 6, 11, and 12). The yields of isolated trifluoromethylated aldol product, derived from cyclopentanone, are lower than those of difluoromethylated or pentafluoroethylated aldol ones, because it is troublesome to isolate the trifluoromethylated aldol product from the reaction mixtures by column chromatography. Degree of *syn*-diastereoselectivities of the products **3b**, **5**, and **6**, derived from cyclopentanone, may depend on the bulkiness of the fluoroalkyl groups<sup>8</sup> in the following orders under the same conditions: the pentafluoroethyl (*syn:anti*=94:6, 88% de)>trifluoromethyl (*syn:anti*=93:7, 86% de)>difluoromethyl (*syn:anti*=78:22, 56% de) group (entries 2, 5, and 6), though the reason for this *syn*-selective outcome is not clear at this present.

# 3. Conclusions

In summary, we have achieved the direct aldol reaction of  $CF_3CHO$  ethyl hemiacetal, with unmodified ketones by the use of small amount of acids and amines without any strong acid and high reaction temperature, producing  $\beta$ -hydroxy- $\beta$ -trifluoromethylated ketones in good yields. Studies addressing catalytic process for the asymmetric direct aldol reaction of  $CF_3CHO$  ethyl hemiacetal will be reported in due course.

#### 4. Experimental

### 4.1. General

<sup>1</sup>H NMR spectra were measured with a JEOL  $\alpha$ -400 (400 MHz) FT-NMR spectrometer, a JNM-AL400 (400 MHz) FT-NMR spectrometer, or a JNM-ECA500 (500 MHz) FT-NMR spectrometer in deuteriochloroform (CDCl<sub>3</sub>) solutions with tetramethylsilane (Me<sub>4</sub>Si) as the internal standard. <sup>13</sup>C NMR spectra were measured with a JEOL  $\alpha$ -400 (100 MHz) FT-NMR spectrometer, a JNM-AL400 (100 MHz) FT-NMR spectrometer, or a JNM-ECA500 (126 MHz) FT-NMR spectrometer in CDCl<sub>3</sub> solutions with tetramethylsilane (Me<sub>4</sub>Si) as the internal standard. <sup>19</sup>F NMR spectra were recorded on a JEOL  $\alpha$ -400 (376 MHz) FT-NMR spectrometer, a JNM-AL400

(372 MHz) FT-NMR spectrometer, or a JNM-ECA500 (471 MHz) FT-NMR spectrometer in CDCl<sub>3</sub> solutions using trifluoroacetic acid as the external standard.

## 4.2. A typical procedure

To a solution of a catalytic amount of silica gel (Wakogel C200) (0.120 g) and piperidine (0.043 g, 0.5 mmol) in dry acetone **2a** (10 ml) was added trifluoroacetaldehyde ethyl hemiacetal **1a** (0.144 g, 1 mmol) at room temperature under an inert atmosphere. After being stirred at room temperature for 24 h, the reaction mixture was quenched with NH<sub>4</sub>Cl aq solution (40 ml), followed by extraction with Et<sub>2</sub>O (30 ml×3). The organic layer was dried over Na<sub>2</sub>SO<sub>4</sub> and the solvents were removed by distillation under reduced pressure with cold ice bath. After the measurement of the residue by <sup>19</sup>F NMR using benzotrifluoride (58% of **3a** and 10% of **4**), purification by flash chromatography on silica gel (hexane–Et<sub>2</sub>O=3:1) gave **3a** (44%, 0.068 g) and trace amount of **4**.

**4.2.1. 5,5,5-Trifluoro-4-hydroxy-2-pentanone** (**3a**).<sup>9</sup> IR (KBr) 1716.9 (C=O), 3411.2 (OH) cm<sup>-1</sup>; <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>)  $\delta$  2.24 (3H, s), 2.78 (1H, dd, *J*=17.83, 3.01 Hz), 2.84 (1H, dd, *J*=17.83, 8.88 Hz), 3.41 (1H, br s), 4.44–4.51 (1H, m); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  30.6 (s), 42.8 (s), 66.3 (q, *J*=32.2 Hz), 124.6 (q, *J*=280.6 Hz), 206.4 (s); <sup>19</sup>F NMR (471 MHz, CDCl<sub>3</sub>)  $\delta$  –1.8 (3F, d, *J*=6.9 Hz); HRMS (CI) Found: *m/z* 157.0476. Calcd for C<sub>5</sub>H<sub>8</sub>F<sub>3</sub>O<sub>2</sub>: M+H, 157.0479.

**4.2.2.** 1,1,1,7,7,7-Hexafluoro-2,6-dihydroxy-4-heptanone (4). Mp 70–71 °C; IR (KBr) 3392.9 (OH), 1732.3 (C=O) cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  2.84 (1H×2, ddd, J=7.08, 2.69 Hz), 2.94 (1H×2, dd, J=17.57, 9.27 Hz), 3.06 (1H×2, br s), 4.50–4.60 (1H×2, m); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  43.0 (s), 66.4 (q, J=32.8 Hz), 66.4 (q, J=32.5 Hz), 124.3 (q, J=279.5 Hz), 204.7 (s); <sup>19</sup>F NMR (376 MHz, CDCl<sub>3</sub>)  $\delta$  –1.99 (3F, d, J=6.8 Hz), -2.02 (3F, d, J=6.8 Hz); HRMS (EI) Found: *m*/*z* 254.0374. Calcd for C<sub>7</sub>H<sub>8</sub>O<sub>3</sub>F<sub>6</sub>: M, 254.0377.

**4.2.3. 2-**(**2,2,2-Trifluoro-1-hydroxyethyl)cyclopentanone** (**3b**).<sup>4</sup> *syn Isomer*: IR (KBr) 1713.0 (C=O), 3458.8 (OH) cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  1.72–1.89 (1H, m), 2.06–2.23 (4H, m), 2.34–2.51 (1H, m), 3.17–3.27 (1H, m), 4.58 (1H, m); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  20.5 (s), 22.3 (s), 38.0 (s), 49.2 (s), 67.7 (q, *J*=31.7 Hz), 125.0 (q, *J*=282.0 Hz), 218.6 (s); <sup>19</sup>F NMR (471 MHz, CDCl<sub>3</sub>)  $\delta$  –0.3 (3F, d, *J*=7.5 Hz); HRMS (EI) Found: *m/z* 182.0555. Calcd for C<sub>7</sub>H<sub>9</sub>F<sub>3</sub>O<sub>2</sub>: M, 182.0555.

**4.2.4.** 2-(2,2,2-Trifluoro-1-hydroxyethyl)cyclohexanone (**3c**).<sup>10</sup> anti Isomer: IR (KBr) 1792.1 (C=O), 3447.2 (OH) cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  1.64–1.81 (3H, m), 1.93–1.98 (1H, m), 2.14–2.24 (2H, m), 2.37–2.49 (2H, m), 2.74–2.80 (1H, m) 4.00–4.09 (1H, m), 4.38 (1H, d, J=5.85 Hz); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  24.9 (s), 28.1 (s), 31.6 (s), 43.0 (s), 50.3 (s), 71.8 (q, J=31.4 Hz), 124.7 (q, J=282.9 Hz), 213.7 (s); <sup>19</sup>F NMR (376 MHz, CDCl<sub>3</sub>)  $\delta$  2.0 (3F, d, J=7.6 Hz); HRMS (EI) Found: *m/z* 196.0711. Calcd for C<sub>8</sub>H<sub>11</sub>F<sub>3</sub>O<sub>2</sub>: M, 196.0711.

4.2.5. 2-(2,2-Difluoro-1-hydroxyethyl)cyclopentanone (5). IR (KBr) 3439.1 (OH), 1736.1 (C=O) cm<sup>-1</sup>; syn Isomer: <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 1.74–1.88 (4H, m), 2.32-2.41 (2H, m), 3.74 (1H, br s), 4.26 (1H, br s), 5.76 (1H, dt, *J*=55.78, 4.59 Hz); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  20.5 (s), 22.7 (s), 38.1 (s), 49.3 (t, J=2.5 Hz), 68.5 (t, J=24.6 Hz), 115.7 (t, J=244.1 Hz), 220.0 (d, J=6.6 Hz); <sup>19</sup>F NMR (372 MHz, CDCl<sub>3</sub>)  $\delta$  -52.9 (2F, ddd, J=55.8, 22.1, 11.5 Hz); anti Isomer: <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 1.78–1.88 (2H, m), 2.10–2.31 (3H, m), 2.38–2.46 (2H, m), 3.87–3.95 (1H, m), 4.05 (1H, br s), 5.92 (1H, dt, J=55.78, 3.86 Hz); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  21.6 (s), 27.0 (d, J=2.5 Hz), 39.1 (s), 48.8 (t, J=2.9 Hz), 72.4 (t, J=25.0 Hz), 116.0 (dd, J=245.0, 241.7 Hz), 222.4 (s); <sup>19</sup>F NMR (372 MHz, CDCl<sub>3</sub>)  $\delta$  -51.4 (1F, ddd, J=289.2, 55.8, 10.3 Hz), -55.6 (1F, ddd, J=289.2, 55.8, 11.4 Hz); HRMS (EI) Found: *m*/*z* 164.0656. Calcd for C<sub>7</sub>H<sub>10</sub>O<sub>2</sub>F<sub>2</sub>: M, 164.0649.

**4.2.6.** 2-(2,2,3,3,3-Pentafluoro-1-hydroxypropyl)cyclopentanone (6). *syn Isomer*: mp 58–58.5 °C; IR (KBr) 3457.0 (OH), 1736.1 (C=O) cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  1.74–1.88 (1H, m), 2.05–2.27 (4H, m), 2.35–2.50 (2H, m), 3.50 (1H, d, *J*=6.28 Hz), 4.70 (1H, dt, *J*=20.77, 6.28 Hz); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  20.5 (s), 22.6 (d, *J*=2.5 Hz), 37.8 (s), 49.3 (s), 66.5 (dd, *J*=27.4, 21.7 Hz), 114.0 (ddq, *J*=260.5, 255.6, 36.1 Hz), 118.7 (qt, *J*=286.7, 36.1 Hz), 218.8 (s); <sup>19</sup>F NMR (372 MHz, CDCl<sub>3</sub>)  $\delta$  –6.1 (3F, s), –45.9 (1F, dd, *J*=275.4, 20.6 Hz), –52.4 (1F, ddd, *J*=275.4, 20.6, 2.3 Hz); HRMS (EI) Found: *m*/*z* 232.0528. Calcd for C<sub>8</sub>H<sub>9</sub>O<sub>2</sub>F<sub>5</sub>: M, 232.0523.

## Acknowledgments

This work was partially supported by a Grant-in-Aid from the Ministry of Education, Culture, Sports, Science, and Technology of Japan, the OGAWA Science and Technology Foundation and Gifu University. We are grateful to the Central Glass Co., Ltd, for the gift of  $CF_3CHO$  ethyl hemiacetal and hydrate as well as financial support. We thank Professors T. Ishihara, T. Konno, and H. Yamanaka of the Kyoto Institute of Technology for the HRMS measurements.

#### **References and notes**

- (a) Braid, M.; Iserson, H.; Lawlor, F. E. J. Am. Chem. Soc. 1954, 76, 4027; (b) Henne, A. L.; Pelley, R. L.; Alm, R. M. J. Am. Chem. Soc. 1950, 72, 3370; (c) Shechter, H.; Conrad, F. J. Am. Chem. Soc. 1950, 72, 3371.
- (a) Funabiki, K.; Nojiri, M.; Matsui, M.; Shibata, K. Chem. Commun. 1998, 2051; (b) Funabiki, K.; Matsunaga, K.; Matsui, M.; Shibata, K. Synlett 1999, 1477; (c) Funabiki, K.; Matsunaga, K.; Nojiri, M.; Hashimoto, W.; Yamamoto, H.; Shibata, K.; Matsui, M. J. Org. Chem. 2003, 68, 2853; (d) Funabiki, K.; Hashimoto, W.; Matsui, M. Chem. Commun. 2004, 2056; (e) Funabiki, K. Fluorine-Containing Synthons; Soloshonok, V. A., Ed.; ACS Symposium Series 911, American Chemical Society/Oxford University Press: Washington, DC, 2005, p 342. (f) Funabiki, K.; Hasegawa, K.; Murase, Y.;

Nagaya, H.; Matsui, M. J. Fluorine Chem. (special issue), in press.

- For catalytic direct aldol reactions of fluorine-free aldehydes with ketones using the combination of amines and organic acids, see: (a) Ji, C.; Peng, Y.; Huang, C.; Wang, N.; Jiang, Y. Synlett 2005, 986; (b) Mase, N.; Tanaka, F.; Barbas, C. F., III. Angew. Chem., Int. Ed. 2004, 43, 2420; (c) Mase, N.; Tanaka, F.; Barbas, C. F., III. Org. Lett. 2003, 5, 4369; (d) Nakadai, M.; Saito, S.; Yamamoto, H. Tetrahedron 2002, 58, 8167.
- 4. During our work, an example dealing with the catalytic generation of trifluoroacetaldehyde as well as only one proline-derived tetrazole catalyzed direct aldol reaction with cyclopentanone has been reported, see: Torii, H.; Nakadai, M.; Ishihara, K.; Saito, S.; Yamamoto, H. *Angew. Chem., Int. Ed.* 2004, 43, 1893.
- For silica gel-catalyzed aldol reaction, see: (a) Kubota, Y.; Goto, K.; Miyata, S.; Goto, Y.; Fukushima, Y.; Sugi, Y. *Chem. Lett.* 2003, 32, 234; (b) Ishitani, H.; Iwamoto, M. *Tetrahedron Lett.* 2002, 44, 299 and references cited therein.
- Presented partially at the 84th National Meeting of the Chemical Society of Japan, Hyougo, Japan, March 2004, *Abstr. No.* 2, 1259.

- For cyclohexanone, see: (a) Denmark, S. E.; Stavenger, R. A.; Wong, K.-T.; Su, X. J. Am. Chem. Soc. 1999, 121, 4982. For cyclopentanone, see: (b) Denmark, S. E.; Stavenger, R. A.; Wong, K.-T. Tetrahedron 1998, 54, 10389.
- MacPhee, J. A.; Panaye, A.; Dubois, J.-E. *Tetrahedron* 1978, 34, 3553.
- (a) Kiehlmann, E.; Menon, B. C.; McGillivray, N. Can. J. Chem. 1973, 51, 3177; (b) Bucciarelli, M.; Forni, A.; Moretti, I.; Prati, F.; Torre, G. Gazz. Chim. Ital. 1990, 120, 99; (c) Van der Zeijden, A. A. H.; Veghini, Dario; Berke, Heinz Inorg. Chem. 1992, 31, 5106; (d) Bucciarelli, M.; Forni, A.; Moretti, I.; Prati, F.; Torre, G. Biocatalysis 1994, 9, 313; (e) Forni, A.; Moretti, I.; Prati, F.; Torre, G. Tetrahedron 1994, 50, 11995; (f) Xu, Y.; Dolbier, W. R., Jr. Tetrahedron Lett. 1998, 39, 9151; (g) Kitazume, T.; Tamura, K.; Jiang, Z.; Miyake, N.; Kawasaki, I. J. Fluorine Chem. 2002, 115, 49; (h) Hess, R.; Diezi, S.; Mallat, T.; Baiker, A. Tetrahedron: Asymmetry 2004, 15, 251; (i) Diezi, S.; Mallat, T.; Szabo, A.; Baiker, A. J. Catal. 2004, 228, 162; (j) Diezi, S.; Hess, M.; Orglmeister, E.; Mallat, T.; Baiker, A. Catal. Lett. 2005, 102, 121.
- Ref. 9a,b and (a) Kubota, T.; Iijima, M.; Tanaka, T. *Tetrahedron Lett.* **1992**, *33*, 1351.